

# **Mega International Investment Trust Co., Ltd.**

## **Responsible Investment Policy**

Approved by the 5th session of the 15th term of the Board of Directors Meeting on December 8, 2021

Approved by the 15th session of the 12th term of the Board of Directors Meeting on October 27, 2022

### Article 1 (Purpose)

In order to fulfill the responsibility as an institutional investor, the investment of the funds and discretionary accounts under the Company shall be conducted in accordance with the Principles for Responsible Investment of the United Nations. The Company shall not only focus on the profitability and growth of the target companies but also guide the invested companies to pay attention to the sustainability issues, such as Environmental, Social and Governance (hereinafter referred to as “ESG”) to realize the goal of responsible investment.

### Article 2 (Authority)

The authority of the Policy is the Research & Investment Division.

### Article 3 (Applicable scope)

The funds and discretionary accounts under the Company.

### Article 4 (Principles of responsible investment)

Funds and discretionary accounts under the Company shall follow the following six principles when making investments.

1. Introduce the ESG factors into the analysis and decision making process of an investment

Establish ESG review procedures and audit guidelines. Incorporate ESG factors into the audit and management procedures for the target company.

2. Actively exercise the ownership as a shareholder

Proactively attend shareholder meetings of invested companies in person or exercise the voting power by way of electronic transmission

to strengthen the dialogue and interaction of ESG issues with the invested companies, and guide them to pursue the goals related to sustainable operation via shareholder activism.

3. Guide the invested companies to disclose ESG information

Encourage the invested companies to disclose or provide ESG related information thereof.

4. Collaborate with other institutional investors to adopt and carry out Principles for Responsible Investment

Sign the declaration of following the “Institutional Investor Stewardship Principles” and join other institutional investors to focus on ESG sustainability issues.

5. Participate in activities, or support initiatives, on responsible investment

Participate in the relevant events or forums and support initiatives or regulations on responsible investment announced by the competent authorities or relevant institutions.

6. Disclose the implementation of responsible investment

Fulfill the stewardship of the institutional investor by disclosing the responsible investment related information of the Company annually in the Stewardship report and on the Company’s website.

#### Article 5 (Uncovered matters)

Any matters not covered by the Policy shall be dealt in accordance with the relevant laws and regulations, as well as the relevant rules of the Company.

#### Article 6 (Approval level)

The Policy shall be implemented upon approval of the Board of Directors. The same applies when it is amended or annulled.